

NAVINTCOMINST 3820.6A
NIC-03R

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Subj: DoD IIR Information Report Evaluation Program

Ref: (a) OPNAVINST 55510.48G
(b) CNO ltr ser 009D20/609254 dt 20 Feb 1976

Incl: (1) DIAM 58-2, Vol. II, Part Nine, Chapter 16 (DoD IIR Information Report Evaluation Program)

1. Purpose. To promulgate procedures delineated in reference (1) for evaluating intelligence information reports (IIRs), either by utilizing Do Form 1480 (Defense Intelligence Information Report Evaluation) or message format, by Naval Intelligence Command (NAVINTCOM) subordinate commands and other Navy Departmental elements whose information needs are processed by NAVINTCOM.

2. Regulation. NAVINTCOMINST 3820.6

3. Discussion.

a. The DoD IIR evaluation is designed to serve as a vehicle for substantive dialogue between analysts and collectors, and to provide data to gauge the distribution of information in satisfaction of collection and production requirements. Message evaluation is preferred and encouraged as it provides timely notification of requirements satisfaction, thus precluding unnecessarily redundant collection and reporting.

b. Procedures for the evaluation of Electronic Intelligence (ELINT), Communications Intelligence (COMINT), and Measurement and Signature Intelligence (MASINT) are contained in DIAM 58-2, Volume II, Parts Seven, Eight and Ten, respectively and are excluded from this instruction.

4. Foreign Disclosure Authority (FDA). By reference (a), as amplified in reference (b), COMNAVINTCOM was delegated authority, subject to the restrictions set forth in paragraph 5 below, to disclose IIR evaluations to friendly governments that provided the information in the original IIR. That foreign disclosure authority for evaluations originated within their commands, is redelegated by COMNAVINTCOM to:

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a. Commanding Officer, Naval Intelligence Support Center.

b. Commanding Officer, Navy Field Operational Intelligence Office.

c. Director, Naval Investigative Service.

Additional information on foreign disclosure is contained in subparagraph 10.b.(19) of enclosure (1).

5. Action.

a. All intelligence information reports received (complete with all enclosures) either by message or hard copy (DD Form 1336) directly from the collector or via DIA will be evaluated in accordance with the provisions set forth in enclosure (1). No type evaluations will be used unless infeasible.

b. Release of intelligence within the context of this instruction are subject to the following restrictions:

(1) Intelligence contained in evaluations must only relate to communist countries.

(2) Evaluations may not contain Special Compartmented Information (SCI).

(3) Evaluations must not include intelligence produced by departments or agencies other than the Navy.

Proposed releases that do not conform to the above restrictions shall be forwarded to Commander, Naval Intelligence Command (NIC-03).

c. To ensure expeditious handling and responsiveness when collectors request evaluations releasable to cooperating foreign governments, the respective commanding officers/director of Naval Intelligence Support Center, Navy Field Operational Intelligence Office, and Naval Investigative Service may designate an officer and an alternate, within each department that originates IIR

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evaluations, as the "Foreign Disclosure Authority" (FDA). The designated FDA officer(s) will approve and sign only those IIR evaluations originated by their commands coming under the purview of subparagraph 5.b. above.


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 Deputy

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CHAPTER 16

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DOD HUMINT INFORMATION REPORT EVALUATION PROGRAM

1. PURPOSE: To describe the objectives of the program, the use and contents of DD Form 1480 (Defense Intelligence Information Report Evaluation) or message equivalent, and procedures for preparing and processing the form. See paragraph 14 for the meaning of the following terms.

2. REFERENCES:

a. DoD Directive 5105.21, "Defense Intelligence Agency," 19 May 1977.

b. DIAM 58-2, Volume II, Part Nine, "Requirements Reporting Guidance Programs (U)," SECRET/NOFORN, Chapter 15, "Intelligence Information Reports."

c. DIAM 58-2, Volume II, Part Four, "Human Intelligence Tasking System (HITS) (U)," CONFIDENTIAL.

d. DIAM 58-6, "Analyst-Attache Relationships (U)," CONFIDENTIAL.

3. APPLICABILITY: This chapter is applicable to all intelligence production activities of all DoD components and all voluntary non-DoD participant members of the U.S. foreign intelligence community who utilize the Human Intelligence Tasking System (HITS) to attempt satisfaction of intelligence information needs.

4. AUTHORITY: This chapter provides guidance on the DoD Human Source Intelligence (HUMINT) Intelligence Information Report (IR) Evaluation Program. This publication provides intelligence guidance to all DoD components and is issued under the authority delegated in DoD Directive 5105.21, "Defense Intelligence Agency," by the Secretary of Defense to the Director, Defense Intelligence Agency.

5. SUPERSESSON: This chapter supersedes DIAR 58-9, "Defense Intelligence Information Report Evaluation," 1 August 1973.

6. FORMAT: Message evaluations will be used unless infeasible. DD Form 1480 is designed to

be used as a draft format for preparation of messages. Message and hard-copy DD Form 1480 preparation guidance is provided at enclosures 1 and 2. An addressee guide is provided at enclosure 3.

7. OBJECTIVES: The evaluation program is designed as the primary means for user/consumer analyst feedback to field collectors. It guides the latter's collection efforts to better serve analysts' needs, reduces unnecessary or redundant collection and reporting, and assists in the most cost effective utilization of scarce DoD HUMINT collection resources. The program also supports efforts to obtain performance and management data to assess the contribution of information gathered by DoD human resources collectors and the utility of such information in intelligence production and to end consumers. No conflict exists with reference d, as the analyst to attache communication channel is not audit trail-ed, applies only to expand on validated tasking actions, and affords necessary communications channels between collector and consumer.

8. EVALUATION CRITERIA:

a. *DoD Evaluation Criteria.* IR's are selected for evaluation only if the complete IR is available with all addressees. The following criteria apply:

(1) All responses to Intelligence Collection Requirements (ICR's) must be evaluated by the originators of the requirements (see paragraph 10.d. below).

(2) IR's containing collectors' requests for evaluation require evaluation by appropriate Offices of Primary Responsibility (OPR's) as determined by the Dissemination Activity (RTS-2) (if an initiative IR) or by the originator (OPR) of continuing intelligence requirements (CIR's)/DIRM 3 HUM 9 requirements cited in the IR. When evaluations are requested by the collector, the collector should identify specific ques-

tions or areas of interest to be evaluated by the analyst (see paragraph 10.d below).

(3) A standard statistically based random sample of initiative IR's will be selected by DIA Collection Management (DC-4) for evaluation sufficient to provide an acceptable statistical base characteristic of each collector's total initiative reporting effort and will be used only to insure that at least 15 percent of each collector's total reporting is evaluated.

(4) Production analysts at all echelons are strongly encouraged to evaluate IR's on their own initiative. Any analyst may initiate an evaluation when:

(a) It is not requested by the collector or not an ICR response (unless analyst is not the OPR for the requirement but still has interest in the subject).

(b) A particularly complete and thorough report is submitted which obviously involved the expenditure of more than average effort in the collection or preparation.

(c) The content makes a significant contribution to intelligence holdings.

(d) The content is judged to be inaccurate or misleading when compared with other holdings.

(e) The IR is considered of no value and further similar reporting is not desired.

(f) A dialogue is necessary to clarify points in the IR or provide guidance for future reporting.

(5) Specific actions are directed by competent authorities.

(6) IR's responding to Source Directed Requirements (SDR's) will be evaluated when they reference the SDR followed by the word "COMPLETED." At that juncture, all IR's responsive to one SDR originated by the analyst must be evaluated on one DD Form 1480 or message equivalent annotated to reflect each IR number. Some SDR's may address a variety of topics (e.g., torpedoes, electronics, ASW, weapon systems, etc.) in which case one composite evalua-

tion may not be feasible. To preserve the sense of direct feedback, single or cumulative responses to SDR's are therefore the analysts' or production agencies' option.

(7) IR's forwarding biographic or open-source data, regardless of HITS references, will not be listed for evaluation unless requested by the collector with full justification in ORIGINATOR'S COMMENTS. However, analyst initiative evaluations on open-source data not considered of value are strongly encouraged as one means to reduce unneeded IR production.

b. Unified and Specified (U&S) Command Evaluation Criteria.

(1) U&S Commands and subordinate elements have the responsibility to select and evaluate all IR's responsive to their originated ICR's and IR's requesting evaluation which are responsive to their originated CIR's.

(2) U&S Commands may supplement the criteria specified above by establishing additional evaluation criteria for application to IR's originated by Command HUMINT collection resources, applicable only to analysts under their operational control.

(3) If an overriding reason exists precluding use of the message IR evaluation format:

(a) Three copies of hard-copy Command evaluations will be furnished to the Guidance and Requirements Branch (DC-4A) for inclusion in the evaluation data base and for other distribution.

(b) The original hard-copy evaluation will be expeditiously dispatched directly to the collector by the U&S Command or subordinate elements.

(4) Analyst initiative evaluations by Theater subordinate analysts are strongly encouraged if the substance of an IR is of interest, disregarding possible evaluations by continental United States (CONUS) analytical activities.

(5) Message evaluations will always be addressed as shown in enclosure 3.

9. PROCEDURES:

a. Evaluations of IR's will be processed as follows:

(1) The Dissemination Activity will complete those blocks of the IR identification data section specified in paragraph 10.b and, if hard copy, forward five copies of the DD Form 1480, together with the report to which the evaluation applies and its enclosures, to the production activity assigned to perform the evaluation. Assignment of collector initiative IR's for evaluation will be accomplished in accordance with the Statement of Intelligence Interests (SII's) of the various DoD and other agencies' analytical elements worldwide.

(2) When overriding reasons preclude message evaluations pertinent to hard-copy evaluations, production activities will complete all portions of the evaluation form not previously completed by the Dissemination Activity, detach the fifth (analyst's) copy for retention, and forward the remaining copies to DC-4A (unless special exception has been made on a case-by-case basis, such as shown in paragraph 7.b. above). The original will be forwarded by DC-4A to the collector within a maximum of 1 week. If there is no cognizant analytical activity within an agency, the entire package will be expeditiously returned to RTS-2B (not DC-4A) with a note stating, "NOT OPR. REQUEST RE-ROUTE." All completed evaluations must be returned to DC-4A within 30 days of receipt. If an IR is erroneously assigned to an analyst for evaluation (where the analyst is not the OPR), the IR will not be evaluated or discarded, but will be re-routed as per above. Those evaluations nominated for release to foreign governments must be routed through the appropriate Foreign Disclosure Authority (see paragraph 10.b.(19), below, for specific guidance).

b. Services and U&S Command production activities which receive IR's responding to their ICR's directly from collectors will not receive duplicate IR's or evaluation forms from the DIA. These IR's will be evaluated applying the procedures outlined in the paragraphs above, i.e., the original evaluation will be returned directly to the collector, the fifth copy retained,

and the other three copies sent to DC-4A. It is incumbent upon such production elements that each "Header Data" entry on the hard-copy or message evaluation is completed to avoid loss of the evaluation, or precluding DIA from properly entering data in the ADP support file (Eval File). All "Header Data" are easily discernible from the administrative blocks of the IR.

c. The use of message evaluations is dictated unless there are overriding reasons why this cannot be accomplished. The message format is at enclosure 2 to this chapter. Message evaluations will be addressed to the collector, to DC-4A, and to intermediate levels of the collector's command, if appropriate. The subject of all evaluation messages will be "DOD IIR EVAL (U)." Use of the message format significantly reduces receipt time by collectors and considerably enhances the entire IR evaluation program.

d. Analysts are expected to evaluate only those portions of IR's which apply to their area of responsibility. They are not expected to comment on anything outside their area of expertise nor to staff the evaluation elsewhere to evaluate information outside their areas of expertise. The analyst will indicate in the COLLECTOR'S GUIDANCE (block 17) exactly what portions were evaluated. If the IR does not apply to an analyst's area of interest and does not cite an applicable regulation originated by the office, the IR was misdirected and should be routed in accordance with paragraph 10.a.(2), above.

e. Evaluations containing Sensitive Compartmented Information (SCI) or "RODCA" material are strongly discouraged due to distribution and handling problems. They should be addressed by separate correspondence passed through the appropriate handling system. All DD Forms 1480 or message equivalent should be at the collateral level and make reference to the separate sensitive correspondence, if applicable.

10. INSTRUCTIONS FOR COMPLETING DD FORM 1480 (AND MESSAGE EQUIVALENT).

a. *General Guidance.*

(1) Analysts' evaluations are the principal source of substantive feedback available to col-

lectors and serve as guidance to collectors in their efforts to fulfill identified intelligence needs. Analysts are encouraged to exercise objectivity and candor in the preparation of evaluations, to include generous use of the mandatory COLLECTION GUIDANCE and the optional REMARKS sections (blocks 17 and 18) of the form which are designed to serve as vehicles for substantive dialogue between collectors and analysts.

(2) When a collector indicates in the REQUIREMENTS REFERENCES block of the IR that collection against an ICR (only) is "COMPLETED" or if an analytical agency indicates on an evaluation that the collector has completely satisfied the ICR, DC-4 will automatically delete that collector from the requirement (or cancel the requirement completely, if appropriate). If an analytical element believes that an IR marked "COMPLETED" does not fulfill the requirement for that collector because of perceived collection potentials, the analyst should so state in block 17 (COLLECTION GUIDANCE) of the DD Form 1480.

(3) If an IR quotes a requirement originated by the receiving analytical element, but the IR, in fact, does not answer any of the requirement, blocks 12.C. and 13.D. will be checked (as well as 16.C., if appropriate). Guidance will then be included in block 17 COLLECTION GUIDANCE to redirect the collector's efforts.

(4) Hard copy DD Form 1480 (when use is necessary vice message evaluations) need not be typed. Legible, handwritten evaluations are acceptable. All copies must be readable. Corrections or strike-outs are acceptable.

(5) Advance IR's (e.g., electrical message IR's which do not include all enclosures) will not be evaluated, even if requested, until the enclosures have been received. The Dissemination Activity will not task analysts for evaluations until the complete IR is available. If analysts mistakenly receive such requests for evaluation, they are to return them to the Dissemination Activity with an appropriate note attached and without completing any portion of the evaluation. Analysts should make certain that the enclosures have not, in fact, been received before taking this action.

(6) The collector may cite the following types of requirements references. They will always contain nine characters (except INITIATIVE). Prefixes "ICR," "SDR," "CIR," and "DIRM" are not desired. If applicable, "completed" (may be abbreviated "COMP") must follow each ICR and SDR reference. See DIAM 58-2, Volume II, Part Four for full explanation of these references.

(a) DIRM 3: The first character will always be Z. Example: Z-DI3-UR015.

(b) DIRM 9: The first character will always be Y. Example: Y-DIRM9-001.

(c) SDR: The first characters will always be SD. Example: SD-FST-0001/CONT.

(d) ICR: The first character(s) will be other than SD, Y, or Z, and the fifth character other than 4. Example: G-FST-19333-COMP.

(e) CIR: The first character(s) will be other than SD, Y, or Z, and the fifth character will be 4. Example: G-FST-49333.

(f) INITIATIVE: Self-explanatory. Only an X is required in the appropriate space on the hard-copy DD Form 1480. In the message format, place the word "initiative" in paragraph 10.

b. *DD Form 1480 and Message Equivalent--Specific Guidance.* The following specific instructions are keyed to the data blocks shown on DD Form 1480 and will be followed in the completion of those blocks. Blocks which must always be completed are indicated by the word "mandatory." The provisions of this paragraph also apply to hard copy except where noted herein or in enclosures 1 (hard copy) or 2 (message format) to this chapter. All items in blocks 2 through 11 will be filled out exactly as they appear on the IR unless otherwise noted herein.

(1) Block 1--CUSTOMER NO.* The customer number of the production activity designated to receive the IR for evaluation will be entered by the Dissemination Activity in this block.

(2) Block 2--IR NO.* Mandatory. The ten-digit number will be placed in this block by the Dissemination Activity (see paragraph 9.b above).

(3) **Block 3--TARGET COUNTRIES.*** Mandatory. The two-character target country or area code(s) will be entered by the Dissemination Activity. The full names of the countries are not desired.

(4) **Block 4--REPORTER'S NAME (DAO's only).** Mandatory where applicable. The last name of the individual in the USDAO who wrote the IR will be entered here; otherwise, the block is left blank. This will be completed by the Dissemination Activity.

(5) **Block 5--IR DATE.*** Mandatory. The date of the IR will be entered in year-month-day sequence in the six blocks provided, e.g., 720209, by the Dissemination Activity.

(6) **Block 6--SOURCE NO.*** Mandatory when provided. Source numbers (such as Source Code Identifiers) will be entered by Dissemination Activities. Narrative source descriptions, names, and the like will not be entered. Leave blank when no source number is provided by the IR originator.

(7) **Block 7--PROJECT NO.*** Mandatory for collectors under program elements 31012 and 31022 (HUMINT) only. The six-digit collection project number will be entered in this block by the Dissemination Activity.

(8) **Block 8--SUBJECT CODE(S).*** This block is for DC-4A use only. Enter "N/A" on message evaluations. Leave blank on hard copy evaluations.

(9) **Block 9--REC'D BY DISSEM.*** Mandatory except as indicated in paragraph 10.c, following. The date on which the IR was received by the Dissemination Activity will be entered by that activity in year-month-day sequence.

(10) **Block 10--REFERENCE(S).** Mandatory. If the IR responds to an ICR, an SDR, a CIR, a DIRM 3, or a DIRM 9, the Dissemination Activity will check block A and enter the tasking reference(s). If the IR is a collector initiative, block 9B will be checked. When multiple requirements are referenced in an IR, the criteria below will be followed in selecting which analytical agency(ies) will be tasked to evaluate the IR. (NOTE: Analysts may elect to evaluate any

IR on their own initiative without regard to the following instructions.).

(a) *More than one ICR and/or ICR(s) --SDR combination, but no other tasking references.* An evaluation form will be forwarded to the originator(s) of the ICR(s) and, when marked "Complete," to the originator of the SDR --one form for each ICR and SDR cited. If the same analyst is assigned to evaluate the same IR more than once because he was the originator of more than one of the ICR's referenced, he may combine the evaluations on the same form (discarding the unused forms) by adding in block 10 the additional ICR's from the discarded forms, provided that blocks 12 through 16 are the same for each. Blocks 17 and 18 may be subparagraphed for the purpose of discussing each ICR response. If blocks 12 through 16 are not identical for all, a separate form must be used for each. SDR's (when exploitation is "Complete") must be evaluated separately. SDR's may be evaluated separately at the option of the analyst or production agency as outlined in paragraph 8.a.(6), above.

(b) *An SDR, one or more ICR's, or ICR(s) --SDR combination with other types of references also cited.* Only the originator of the ICR(s) and/or SDR (when "Complete") will be tasked to evaluate in accordance with (a), above, unless the collector specifically asked in ORIGINATOR'S COMMENTS for an evaluation by the originator(s) of the other tasking references.

(c) *Other references, but no ICRs or SDRs.* CIR, DIRM 3, and DIRM 9 are only evaluated when the IR originator specifically requests an evaluation. They are not randomly selected, but analysts may evaluate them on their own initiative at any time. If an evaluation is requested, the following applies: If an IR references a CIR, DIRM 3, and DIRM 9, only the CIR originator will be tasked, unless the originator also specified an evaluation by the DIRM 3 and/or DIRM 9 OPR(s). If only DIRM 3 and DIRM 9 are referenced, the DIRM 3 and DIRM 9 OPR's would be tasked for an evaluation. Evaluations may be combined as shown in paragraph (a), above, provided blocks 12 through 15 are the same for each reference.

(11) **Block 11—REASON FOR EVALUATION.** Mandatory. The appropriate block (A, B, C, or D—one only) indicates why the evaluation is being accomplished and will, except as noted below, be checked by the Dissemination Activity. Random selection of collector initiative IR's, block D (SEL BY COL MGR), will be checked whenever the other three blocks are not appropriate. The analyst will check block C if the evaluation is based on analyst initiative.

(12) **BLOCK 12—VALUE OF INFORMATION.** Mandatory. The appropriate block describing the intelligence value of the information reported will be checked by the evaluator. Only one block (A, B, or C) will be marked. The following criteria will be applied in judging the value of an IR:

(a) **Block 12A—Of Major Significance.** The IR contains information reported for the first time or that which significantly clarifies or amplifies present intelligence holdings and/or interpretations; corroborates or negates all-source reporting; provides the basis for significantly revising previous analyses or estimates; or provides unique or timely indications or warning of impending foreign military or political action.

(b) **Block 12B—Of Value.** The IR contains information which supplements or confirms present intelligence holdings and/or interpretations, and is useful in updating previous analyses, estimates, basic intelligence products, or the data base.

(c) **Block 12C—Of No Value.** The IR contains information of no significance and does not contribute to present intelligence holdings and/or interpretations. This block must never be used to indicate that the evaluator is not responsible for the subject matter of the IR (see paragraph 9.a.(2), above). If the IR is ICR-responsive and this block (12C) is checked, 16C must also be checked.

(13) **Block 13.** If the information is of no value and 12C is checked, the one best reason listed below must also be checked:

(a) **Block 13A—Too Fragmentary.** This block applies to information which is incomplete or lacks the detail necessary for clarity and/or comprehension.

(b) **Block 13B—Duplicative.** This block applies to information which is identical to information already on hand, indicating that it may have come from the same source or that it is of such common knowledge that it was not used to verify information reported by other sources.

(c) **Block 13C—Untimely.** This applies to information which is outdated or stale, but could have been of value if received earlier.

(d) **Block 13D—Not Responsive to Tasking Cited.** This block applies to information which is not responsive to the referenced requirement(s). Evaluators using this block must be the requirement's originator.

(14) **Block 14.** This block will be completed only if the analyst knows that the information has been or will be used in an intelligence product; otherwise, it should be left blank. The evaluator will identify the general type of product(s) by checking one or more of the product descriptor blocks. Specific product name(s) must be identified in the space provided for that purpose in block 15. Products may include recurring reports (summaries, notices, updates, etc.), briefings, one-time reports or studies, or a variety of other items, and should always fall into one of the general areas described below. In essence, anything which is not included in this block was either not used or was included in the data base.

(a) **Block 14A—Basic Intelligence.** This block applies to general intelligence products which are often encyclopedic and detailed in nature, and usually includes information of a fundamental and long-term nature concerning facilities, resources, institutions, or conditions in foreign areas or countries. This information is usually collected to support production under the Defense Intelligence Production Schedule.

(b) **Block 14B—Current Intelligence.** This block applies to products of current, time-dominant interest which contain contemporary information concerning on-going situations in foreign areas or countries.

(c) **Block 14C—Estimative Intelligence.** This block applies to those products which focus on projecting future conditions in foreign areas or countries, either long-range or near-term, and which identify the major military and political,

economic, or sociological facts and factors present in a foreign situation, development, or trend; interpret their significance; discuss possible future actions or events that may alter or stabilize present conditions; and appraise the prospective results of the various actions that might be taken or events that might occur.

(d) **Block 14D S&T Intelligence.** Applicable when data are used in any scientific and technological product authenticated by a Directorate for Scientific and Technical Intelligence (DT) tasking (DT project number).

(15) **Block 15--NAME OF PRODUCT(S).** List all products by full name in which this IR was or will be used, when known.

(16) **Block 16.** One block only (A, B, or C) will be checked for IR's responding to ICR's validated and levied in accordance with DIAM 58-2, Volume II, Part Four, indicating the extent to which the ICR was satisfied. ICR's are always evaluated unless the reporter specifically requests no evaluation. Block 16 will be completed only if block 11A above has been checked and only by the ICR's originating element.

(a) **Block 16A--Completely Satisfied.** This block is used only when an IR completely satisfies all portions of an ICR.

(b) **Block 16B--Partially Satisfied.** This block is used when an IR satisfies some portions of an ICR which must be identified by the analyst in **COLLECTION GUIDANCE** (block 17). If an entire ICR is satisfied for that collector, so state in the remarks (block 18). Block 16B is checked most frequently; when checked the evaluation must contain precise guidance in block 17 reflecting analyst's holdings (including sanitized all-source intelligence).

(c) **Block 16C--Not Satisfied at All.** This block usually indicates that an IR references an ICR, but contains no information responsive or relevant to the ICR. When this block is checked,

COLLECTION GUIDANCE (block 17) must contain guidance to the collector indicating that the ICR has been reviewed and specifying why the information reported was not responsive or relevant to the ICR.

(17) **Block 17--COLLECTION GUIDANCE.** Mandatory unless block 16A is checked, indicating the IR completely satisfied the ICR. This block is designed to provide constructive guidance that will assist the collector in supporting analysts' requirements. This block will not be used for laudatory or depreciatory remarks, or for any other non-guidance types of statements. If such statements are appropriate, they will be placed in **REMARKS** (block 18). **COLLECTOR'S GUIDANCE** should be utilized for issuing additional guidance to the collector beyond that stated in the requirement(s) which would further assist him in responding to the requirement(s). It may be used to expand on the requirement, but not to levy new requirements. It is important that this paragraph is used as a prime, if not the prime, vehicle for analyst-to-collector communications. It should include points of observation, indicators of what to look for, suggested targets, indications of the precise type of data being sought and type of data not needed based on all-source holdings.

(18) **Block 18--REMARKS.** This block is optional and may be used to make additional remarks on the value, significance, and use of the information reported, but not collection guidance. If block 12A (**OF MAJOR SIGNIFICANCE**) is selected, analysts are encouraged to describe the nature of the report's contribution to intelligence holdings.

(19) **Block 19--FOREIGN DISCLOSURE DATA.*** Mandatory.

(a) **Block 19A--Evaluator's Recommendation.** The evaluator will complete 19A by completing one of the three entries. "Releasable evaluation not requested" will always be select-

NOTE: THE GUIDANCE AND REMARKS BLOCKS SHOULD UNDER NO CIRCUMSTANCES BE USED FOR COMMENTS CONCERNING DISSEMINATION PROBLEMS, E.G., NON-RECEIPT OF ENCLOSURES, DUPLICATIVE RECEIPT OF THE SAME REPORT, POOR REPRODUCTION, OR ANYTHING ELSE WHICH IS OF NO INTEREST TO THE COLLECTOR. DISSEMINATION AND OTHER ADMINISTRATIVE PROBLEMS SHOULD BE ADDRESSED BY SEPARATE CORRESPONDENCE TO RTS-2B AND DC-4A FOR RESOLUTION. THIS MAY BE ACCOMPLISHED TELEPHONICALLY, IF DESIRED.

ed if the originator did not request an evaluation releasable to a foreign government or international organization. "Evaluation is not releasable" will be selected if the IR originator requested a releasable evaluation but no part of the evaluation may be released. If either of these blocks is checked, the evaluation need not be forwarded to the Foreign Disclosure Authority (FDA) whether the evaluation is hard copy or message format. If a releasable evaluation is requested, the evaluator may recommend those portions of blocks 17 and 18 of the evaluation which are releasable to which country(ies)/organization(s) by completing the third statement. The evaluation, along with a copy of the IR being evaluated and any separate message requesting that that evaluation be releasable, if applicable, will then be forwarded to the FDA to complete the second portion of block 19. If the evaluation is in message format, the evaluator may for typing purposes, assume that the FDA will concur with the release recommendation of the evaluator and insert the FDA release statement. In this case, the evaluator's recommendation will not be included in paragraph 19 of the message. If the FDA does not concur with the evaluator's release recommendation, the FDA will make the necessary changes to the message.

(b) **Block 19B—Foreign Disclosure Authority Decision.** The FDA will complete block 19B by selecting one of the two statements provided, entering the FDA organization, and signing in the space provided or in the margin. The FDA organization and signature will be placed beside paragraph 19 on the file copy of message evaluations. The first statement, "Evaluation is not releasable," will be used only when the FDA does not concur with the evaluator's release recommendation. In this case, the FDA will line out the evaluator's recommendation to avoid confusion. In message evaluations, the FDA will make the appropriate alterations to the message. If the evaluator submits an evaluation to the FDA which the IR originator did not request to be releasable, the FDA is authorized to change the evaluator's recommendation by lining out the release recommendation and checking the first statement, "Releasable evaluation not requested," or so changing paragraph 19 in message evaluations. In this case, no further action is required of the FDA.

(c) Message evaluations will have one of the following three statements in paragraph 19 abbreviated as shown. No other statements are needed.

1 FGN DISC DATA: RELEVAL NOT-REQ

2 FGN DISC DATA: EVAL NOT REL

3 FGN DISC DATA: PER (organization of the FDA), NON-CAVEATED PORTIONS OF THIS EVAL, AS INDICATED, MAY BE REL TO AUTH REPS OF GOVTS OF (country code(s)) AT DISCRETION OF ORIG.

(20) **Block 20—EVALUATOR'S NAME.** Mandatory by the evaluator. Self-explanatory. Signatures are not desired. This block may also include the analyst's area of responsibility if desired.

(21) **Block 21—EVALUATOR'S OFFICE SYMBOL.** Mandatory by the evaluator. Self-explanatory. This must include the designation of the agency, command, or activity, followed by the evaluator's specific office symbol.

(22) **Block 22—DATE EVALUATED.*** Mandatory by the evaluator. Self-explanatory. Omit in message evaluations since the message date-time-group suffices.

(23) **Block 23—ORIGINATOR OF IR.** Title of IR originator's office, e.g., 66th MI Gp. This block normally is completed by the Dissemination Activity (except analyst initiative evaluations).

(24) **CLASSIFICATION.** Mandatory. Self-explanatory. Mark top and bottom with appropriate classification or with "UNCLASSIFIED." See appropriate security regulations for additional information regarding classification of Defense information.

(25) **DOWNGRADING, DECLASSIFICATION AND SPECIAL MARKINGS.*** Mandatory. Self-explanatory. See appropriate security regulations for additional information regarding these markings, or consult with your local security office.

c. *Processing Analyst Initiative Evaluations and Evaluations Where a Dissemination Activity is Not Involved.* Such evaluations will be processed in the same manner as other evaluations except that the evaluator will complete all IR identification data blocks on the form as described in b, above. Blocks 1 (CUSTOMER NO), 8 (SUBJECT CODES) and 9 (REC'D BY DISSEM) will be omitted (enter "N/A" in message evaluations). Blocks 2 through 7 and 10 will be exactly as presented in the IR. Analyst initiative evaluations will have block 11C (SEL BY ANALYST) checked. Evaluations made on an analyst's initiative are encouraged when reports contain unusually valuable information, erroneous or incorrect information, or if it appears necessary or desirable to assist a collector in appraising the source of his information. As stated in paragraph 9.d, evaluations may address only a portion of an IR which will be identified in the COLLECTOR'S GUIDANCE (block 17) or REMARKS (block 18). Analysts will not initiate evaluations of ICR responsive IR's on which they are the OPR (Tasking originator). These types of IR's will be received automatically from the Dissemination Activity to evaluate.

d. *Composite Evaluations.*

(1) Two or more IR's may be evaluated using the same DD Form 1480 (in message or hard-copy format) if the IR's conform to all of the following:

- (a) Are evaluated by the same analyst;
- (b) Originate from the same collector;
- (c) Reference the same target country(ies) in block 3;
- (d) Reference the same project number(s) (if applicable) in block 10;
- (e) Reference the same tasking or are all checked INITIATIVE in block 10A;
- (f) Reference the same source(s) (if applicable) in block 6;
- (g) Address the same general subject content;

(h) The analyst's scores in blocks 12 through 16 are the same for all IR's included in the composite; and

(i) The evaluations meet the 30-day suspense for all IR's included in the composite in accordance with paragraph 9.a.(2), above. This suspense is not applicable to analyst initiative evaluations.

(2) When composite evaluations are rendered, all IR numbers in their full ten-digit format, followed by the date of each, will be placed in the REMARKS block (block 18). Make no entries on block 2 (IR NO) or in block 5 (IR DATE). Even if portions of the IR numbers are identical, the entire ten-character IR number must be entered for each IR which is listed.

11. MESSAGE EVALUATIONS:

a. The message format (enclosure 2) follows DD Form 1480, paragraphs 1 through 21. The DATE EVALUATED is not included in message evaluations since the message date-time-group suffices for this block. Likewise, the ORIGINATOR OF IR (block 23) is shown in the TO address line of the message and in the IR number itself and may be omitted.

b. All paragraphs must be included with the annotation "N/A" or "NONE" entered, if appropriate. Do not omit any of paragraphs 1 through 21 of DD Form 1480.

c. Paragraph titles (which may be abbreviated as shown in enclosure 2) are required.

d. Each paragraph must include the appropriate classification in parentheses immediately following the paragraph number (enclosure 2), unless the entire evaluation is unclassified.

e. The message SUBJECT will be "SUBJECT: DOD IIR EVAL (U)."

f. If a message evaluation is submitted after or prior to receipt of a blank hard-copy DD Form 1480, the analyst need only enter the words "MESSAGE EVAL SENT" in the REMARKS (block 18), and return all copies *uncompleted* to DC-4A. Include the message reference, if available.

DIAM 58-2L

g. Message evaluations nominated for release to foreign governments must be routed through the appropriate Foreign Disclosure Authority (see paragraph 10.b.(19) above for guidance).

12. ADP SUPPORT: The "EVAL" file of the HUMINT Information Management System, accessible through System "1" of the DIA On-Line System (DIAOLS), is operated and maintained by the program OPR, DC-4. It is for the management of the DoD world-wide IR evaluation program.

13. COMPLIANCE: The IR evaluation program is the principal, universally applicable communication channel between DoD HUMINT collectors and consumer/users world-wide. To assure that the collector benefits from consumer/user feedback and specific guidance to enhance most cost-effective future collection, a quality control mechanism is established. Through automated data processing (ADP) sup-

port, the DIA program manager periodically produces "Eval Delinquency Lists" which, through command channels, cite IR's which should have been, but were not, evaluated by the consumer/user OPR's. Upon receipt of such a "gig list," compliance with this chapter is expected within 10 working days via direct communication with the DIA Program Manager (DC-4).

14. GENERAL NOTE FOR ADP PERSONNEL PROCESSING THIS REPORT: Coding must be as indicated in the instructions. In cases where specific coding instructions are not provided, reference must be made to the DoD Manual for Standard Data Elements, DoD 5000.12M. Failure to comply with either the coding instructions contained herein, or those published in the referenced manual, will make the noncomplier responsible for required concessions in data base communication. Items marked with an asterisk (*) have been registered in the DoD Data Element Dictionary.

| SECURITY CLASSIFICATION | | DEFENSE INTELLIGENCE INFORMATION REPORT EVALUATION | | |
|---|---|---|-------------------------------------|--|
| 1 CUSTOMER NO | 2 IR NO(S) | | | |
| 3 TARGET COUNTRIES | 4 REPORTER'S NAME (DAO'S ONLY) | 5 IR DATE YEAR MONTH DAY | | |
| 6 SOURCE NO | 7 PROJECT NO | 8 SUBJECT CODE(S) | 9 REC'D BY DISSEM YEAR MONTH DAY | |
| 10 REFERENCE(S) | | 11 REASON FOR EVALUATION (SELECT ONE ONLY) | | |
| <input type="checkbox"/> A REQUIREMENTS (CITE) ... <input type="checkbox"/> B INITIATIVE REPORT | | <input type="checkbox"/> A FOR RESPONSE <input type="checkbox"/> B COLLECTOR'S REQ <input type="checkbox"/> C SEL BY ANALYST <input type="checkbox"/> D SEL BY COL MGR | | |
| 12 VALUE OF INFORMATION (SELECT ONE ONLY) | 14 TYPES OF PRODUCTS TO BE BENEFIT FROM USE OF IR | 16 DEGREE OF REQUIREMENT SATISFACTION (SELECT ONE ONLY) | | |
| <input type="checkbox"/> A OF MAJOR SIGNIFICANCE <input type="checkbox"/> B OF VALUE <input type="checkbox"/> C OF NO VALUE | <input type="checkbox"/> A BASIC INTELLIGENCE <input type="checkbox"/> B CURRENT INTELLIGENCE <input type="checkbox"/> C ESTIMATIVE INTELLIGENCE <input type="checkbox"/> D SET INTELLIGENCE | <input type="checkbox"/> A COMPLETELY SATISFIED <input type="checkbox"/> B PARTIALLY SATISFIED <input type="checkbox"/> C NOT SATISFIED AT ALL | | |
| 13 REASON INFORM IS OF NO VALUE (SELECT ONE ONLY) | 15 NAME OF PRODUCT(S) | | | |
| <input type="checkbox"/> A TOO FRAGMENTARY <input type="checkbox"/> B DUPLICATIVE <input type="checkbox"/> C UNTIMELY <input type="checkbox"/> D NOT RESPONSIVE TO TASKING CITED | | | | |
| 17 COLLECTION GUIDANCE (Mandatory unless 16A, above, is checked) | | | | |
| 18 REMARKS (Optional) | | | | |
| 19 FOREIGN DISCLOSURE DATA | | | | |
| A EVALUATOR'S RECOMMENDATION (SELECT ONE ONLY) | | B FOREIGN DISCLOSURE AUTHORITY DECISION (SELECT ONE ONLY) | | |
| <input type="checkbox"/> RELEASABLE EVALUATION NOT REQUESTED <input type="checkbox"/> EVALUATION IS NOT RELEASABLE <input type="checkbox"/> PARAS ABOVE IS ARE RELEASABLE TO THE GOVT(S) OF _____ | | <input type="checkbox"/> EVALUATION IS NOT RELEASABLE <input type="checkbox"/> NON-CAVEATED PORTIONS OF THIS EVAL AS INDICATED, MAY BE REL TO THE AUTH OPS OF THE GOVT(S) OF _____ AT THE DISCRETION OF THE ORIGINATOR | | |
| 20 EVALUATOR'S NAME | 21 EVALUATOR'S OFFICE SYMBOL | SECURITY INSTRUCTIONS (DOWNGRADING, DECLASS, AND SPECIAL MARKINGS) | | |
| 22 DATE EVALUATED YEAR MONTH DAY | 23 ORIGINATOR OF IR | | | |
| DD Form 1490 30 MAY | | SECURITY CLASSIFICATION | | |

SAMPLE MESSAGE EVALUATION

FM

TO

CONFIDENTIAL

SUBJ: DOD IR EVAL (U)

1. (U) CUSTOMER NO:
2. (U) IR NO:
3. (U) TGT COUNTRIES:
4. (U) DAO REPORTER:
5. (U) IR DATE:
6. (U) SOURCE NO:
7. (U) PROJ NO:
8. (U) SUBJ CODES:
9. (U) RECD BY DISSEM:
10. (U) REFS
11. (U) REASON FOR EVAL:
12. (U) VALUE:
13. (U) NO VALUE BECAUSE:
14. (U) PRODUCT TYPES:
15. (U) PRODUCT NAMES:
16. (U) ICR SATISFACTION:
17. (C) GUIDANCE:
18. (C) REMARKS:
19. (C) RELEASABILITY:
20. (U) EVALUATOR:
21. (U) EVALUATOR'S OFF SYMB:

NOTE:
 PARAGRAPH TITLES AS SHOWN *MUST* BE INCLUDED EVEN IF N/A, NONE, OR UNK ARE TO BE ENTERED.

Note A

Note B

Note C

Note D

Note E

Note F

Note G

Notes D, G

Note H

Note I

Note J

Note K

Note L

Note M

Note N

Refer to NOTES and PREPARATION GUIDANCE on the following pages. For more detailed instructions, refer to the basic chapter 16.

THIS PAGE UNCLASSIFIED--CLASSIFICATION MARKINGS FOR ILLUSTRATION ONLY.

NOTES

- A. Use this subject line only.
- B. Two-character country and area codes will suffice.
- C. Last name of the USDAO reporter, if applicable, will suffice.
- D. Dates will be in year-month-day (yymmdd) only.
- E. Exactly as shown in IR, if applicable.
- F. Enter six-digit number shown in IR, if applicable.
- G. Paragraphs 7 and 8 will be entered on message evaluations only if a DD Form 1480 has been received which shows this information. This applies also to paragraph 1, CUSTOMER NO.
- H. List all references exactly as shown in IR whether applicable to the evaluation or not. Explain in guidance or remarks which references are applicable to the evaluation.
- I. Enter one of the following, including the letter:
 - A. ICR
 - B. COLL REQ
 - C. SEL BY ANAL
 - D. SEL BY COL MGR
- J. Enter one of the following, including the letter:
 - A. OF MAJ SIG
 - B. OF VAL
 - C. NO VAL
- K. If 12.C. is not checked enter N/A for paragraph 13; otherwise, enter one of the following, including the letter:
 - A. TOO FRAG
 - B. DUP
 - C. UNTIMELY
 - D. NOT RESP TO TASKING
- L. If applicable, enter one of the following, including the letter:
 - A. BASIC INTEL
 - B. CURR INTEL
 - C. EST INTEL
 - D. S&T INTEL
- M. Enter one of the following, including the letter, if the IR referenced one of the evaluator's ICR's (not CIR's):
 - A. COMPSAT
 - B. PARTSAT
 - C. NOTSAT

N. If foreign disclosure of all or part of the evaluation is recommended, the message evaluation must be cleared through the appropriate Foreign Disclosure Authority (FDA) before dispatch. The following comment will be entered if the evaluation is releasable: "PER (position or organizational title of FDA, e.g., DIA (DI-4B)), NON-CAVEATED PORTIONS OF THIS EVAL MAY BE REL TO AUTH REPS OF GOVT(S) OF (country codes) AT DISCRETION OF ORIG." Otherwise, enter one of the following:

RELEVAL NOT REQ

EVAL NOT REL

GENERAL PREPARATION GUIDANCE:

1. All numbered blocks of DD Form 1480 must be included in message evaluations (blocks 1 through 21). Enter "N/A," "NONE," or "UNK," as appropriate, when not providing the information requested.
2. Abbreviations should be limited to those shown in the example message and in the NOTES, above.
3. Paragraph titles are mandatory even if "N/A," "NONE," or "UNK" is to be entered.
4. The "DATE EVALUATED" block is eliminated in message evaluations since the date-time-group of the message suffices for that element.

DISTRIBUTION FOR MESSAGE EVALUATIONS

1. The material in this enclosure applies to message evaluations. Hard-copy evaluations (DD Form 1480), when used, will continue to be distributed as before and as described in this chapter.
2. The DD Form 1480 is designed to be a draft for the preparation of message evaluations. Message evaluations are preferred and will be used unless totally infeasible.
3. The following lists are the minimum distribution of message evaluations. Normally, the lists below will not be exceeded, but special situations may dictate other recipients at the discretion of the evaluating organization.
4. The lists are organized first by type of collector and then by geographic region. Collector type can be identified by the first digits of the IR number as follows:

1xxx—AIR FORCE COLLECTORS
 2xxx—ARMY COLLECTORS
 5xxx—NAVY COLLECTORS
 6011 through 6014—DIA Liaison (DIL's)
 68xx through 69xx—USDAO's

In the lists below, these prefixes will be indicated in parentheses. In the case of the DIL's, FSTC elements, and FTD elements, the full four-digit unit identifier will be given. DC-4A should be contacted telephonically to resolve any doubts or problems.

5. DISTRIBUTION LISTS:

- a. USDAO's (including USDLO Hong Kong) (68xx through 69xx) and DIL's (6011 through 6014)

ACTION: Originator
 INFO: DIA WASHINGTON DC//DC-4A/AAT//

- b. FSTC Elements (STITEUR (2252) and STCFEO (2253))

ACTION: Originator
 INFO: FSTC CHARLOTTESVILLE VA//DRXST-SR1//
 DIA WASHINGTON DC//DC-4A/DT//

- c. FTD Elements (Det 3 (1571) or Det 4 (1572))

ACTION: Originator
 INFO: FTD WPAFB OH//XOQR//
 DIA WASHINGTON DC//DC-4A/DT//

- d. ARMY Elements (excluding FSTC elements listed above) (2xxx)

(1) In EUROPE, MIDDLE EAST, or NORTHERN AFRICA

ACTION: Originator
 INFO: DCDR INSCOM FT GEORGE G MEADE//IAFM-OPS-HUC-C//
 DIA WASHINGTON DC//DC-4A//
 USCINCEUR VAIHINGEN GE//ECJ2-P//
 CINCUSAREUR HEIDELBERG GE//AEAGB-C(CM)//

(2) In ASIA (except Korea), AFRICA, or ARABIAN SEA STATES

ACTION: Originator
 INFO: DCDR INSCOM FT GEORGE G MEADE//IAFM-OPS-HUC-C//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//

(3) In KOREA

ACTION: Originator
 INFO: DCDR INSCOM FT GEORGE G MEADE//IAFM-OPS-HUC-C//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//
 COMUSKOREA SEOUL KS//BJ-IS-H//

(4) In LATIN AMERICA

ACTION: Originator
 INFO: DCDR INSCOM FT GEORGE G MEADE//IAFM-OPS-HUC-C//
 DIA WASHINGTON DC//DC-4A//
 USCINCSO QUARRY HEIGHTS PN//SCJ2-IOS//

e. AIR FORCE Elements (excluding FTD elements listed above) (1xxx)

(1) In EUROPE, MIDDLE EAST, or NORTHERN AFRICA

ACTION: Originator
 INFO: 7602AINTELG FT BELVOIR VA//INCD//
 DIA WASHINGTON DC//DC-4A//
 USCINCEUR VAIHINGEN GE//ECJ2-P//
 CINCUSAFE RAMSTEIN AB GE//INCM//

(2) In ASIA (except Korea), AFRICA, or ARABIAN SEA STATES

ACTION: Originator
 INFO: 7602AINTELG FT BELVOIR VA//INCD//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//

(3) In KOREA

ACTION: Originator
 INFO: 7602AINTELG FT BELVOIR VA//INCD//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//
 COMUSKOREA SEOUL KS//BJ-IS-H//

(4) In LATIN AMERICA

ACTION: Originator
 INFO: 7602AINTELG FT BELVOIR VA//INCD//
 DIA WASHINGTON DC//DC-4A//
 USCINCSO QUARRY HEIGHTS PN//SCJ2-IOS//

f. NAVY Elements (5xxx)

(1) In EUROPE, MIDDLE EAST, and ARABIAN SEA STATES

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 USCINCEUR VAIHINGEN GE//ECJ2-P//
 CINCUSNAVEUR LONDON UK//N2//

(2) In ASIA (except Korea), AFRICA, or ARABIAN SEA STATES

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//
 CINCPACFLT PEARL HARBOR HI//N2//

NOTE: If on ARABIAN SEA STATES, include:
 USCINCEUR VAIHINGEN GE//ECJ2
 CINCUSNAVEUR LONDON UK//N2//

(3) In KOREA

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//
 COMUSKOREA SEOUL KS//BJ-IS-H//
 CINCPACFLT PEARL HARBOR HI//N2//

(4) In LATIN AMERICA

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 USCINCSO QUARRY HEIGHTS PN//SCJ2-10S//
 CINCLANTFLT NORFOLK VA//N2//

(5) On ships in the PACIFIC OCEAN area

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//
 CINCPACFLT PEARL HARBOR HI//N2//

(6) On ships in the ATLANTIC OCEAN area

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 CINCLANT NORFOLK VA//J24//
 USCINCSO QUARRY HEIGHTS PN//SCJ2-10S//

(7) On ships in the INDIAN OCEAN area

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 CINCPAC HONOLULU HI//J233//
 USCINCEUR VAIHINGEN GE//ECJ2-P//
 CINCUSNAVEUR LONDON UK//N2//

(8) On ships in the MEDITERRANEAN SEA area

ACTION: Originator
 INFO: COMNAVINTCOM WASHINGTON DC//NIC-03//
 DIA WASHINGTON DC//DC-4A//
 USCINCEUR VAIHINGEN GE//ECJ2-P//
 CINCUSNAVEUR LONDON UK//N2//

TRANSCRIBED PAGES FOLLOW

DEPARTMENT OF THE NAVY
NAVAL INTELLIGENCE COMMAND
4000 SILVER HILL ROAD
WASHINGTON, D.C. 20389

IN REPLY REFER TO

NAVINTCOMINST 3820.6A
NIC-03R

OCT 28 1980

NAVINTCOM INSTRUCTION 3820.6A

- j:** DoD HUMINT Information Report Evaluation Program
- :** (a) OPNAVINST S5510.48G
(b) CNO ltr ser 009D20/659254 of 20 Feb 1976
- l:** (1) DIAM 58-2, Vol.II, Part Nine, Chapter 16 (DoD HUMINT Information Report Evaluation Program)

Purpose. To promulgate procedures delineated in disclosure (1) for evaluating Intelligence Information Reports (IIRs), either by utilizing DD Form 1480 (Defense Intelligence Information Report Evaluation) or message equivalent, by Naval Intelligence Command (NAVINTCOM) subordinate commands and other Navy departmental elements whose information needs are processed by NAVINTCOM.

Cancellation. NAVINTCOMINST 3820.6

Discussion.

a. The DoD IIR evaluation is designed to serve as a vehicle for substantive dialogue between analysts and collectors, and to provide data to gauge the contribution of intelligence information in satisfaction of collection and production requirements. Message evaluation is preferred and encouraged as it provides timely notification of collection requirements satisfaction, thus precluding unnecessarily redundant collection and reporting.

b. Procedures for the evaluation of Electronic Intelligence (ELINT), Communications Intelligence (COMINT), Measurement and Signature Intelligence (MASINT) contained in DIAM 58-2, Volume II, Parts Seven, Eight and Ten, respectively and are excluded from this instruction.

Foreign Disclosure Authority (FDA). By reference to COMNAVINTCOMINST 3820.6A, as amplified in reference (b), COMNAVINTCOM was delegated authority, subject to the restrictions set forth in paragraph 5 below, to disclose IIR evaluations to friendly governments that provided the information for the original IIR. That foreign disclosure authority for IIR evaluations originated within their commands, is delegated by COMNAVINTCOM to:

DEPARTMENT OF THE NAVY

NAVAL INTELLIGENCE COMMAND

4600 SILVER HILL ROAD

WASHINGTON, D.C. 20380

IN REPLY REFER TO

NAVINTCOMINST 3
NIC-03R

OCT 28 1980

NAVINTCOM INSTRUCTION 3820.6A

Subj: DoD HUMINT Information Report Evaluation Program

Ref: (a) OPNAVINST S5510.48G
(b) CNO ltr ser 009D20/659254 of 20 Feb 1976

Encl: (1) DIAM 58-2, Vol.II, Part Nine, Chapter 16 (DoD HUMINT Information Report Evaluation Program)

1. Purpose. To promulgate procedures delineated in enclosure (1) for evaluating Intelligence Information Reports (IIRs), either by utilizing DD Form 1480 (Defense Intelligence Information Report Evaluation) or message equivalent, by Naval Intelligence Command (NAVINTCOM) subordinate commands and other Navy departmental elements whose information needs are processed by NAVINTCOM.

2. Cancellation. NAVINTCOMINST 3820.6

3. Discussion.

a. The DoD IIR evaluation is designed to serve as a vehicle for substantive dialogue between analysts and collectors, and to provide data to gauge the contribution of information in satisfaction of collection and production requirements. Message evaluation is preferred and encouraged as it provides timely notification of requirements satisfaction, thus precluding unnecessarily redundant collection and reporting.

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4. Foreign Disclosure Authority (FDA). By reference (a), as amplified in reference (b), COMNAVINTCOM was delegated authority, subject to the restrictions set forth in paragraph 5 below, to disclose IIR evaluations to friendly governments that provided the information in the original IIR. That foreign disclosure authority for evaluations originated within their commands, is redelegated by COMNAVINTCOM to:

DEPARTMENT OF THE NAVY

NAVAL INTELLIGENCE COMMAND

4000 SILVER HILL ROAD

WASHINGTON, D.C. 20380

IN REPLY REFER TO

NAVINTCOMINST 3820.6A
NIC-03R

OCT 28 1980

NAVINTCOM INSTRUCTION 3820.6A

Subj: DoD HUMINT Information Report Evaluation Program

Ref: (a) OPNAVINST S5510.48G
(b) CNO ltr ser 009D20/659254 of 20 Feb 1976

Encl: (1) DIAM 58-2, Vol.II, Part Nine, Chapter 16 (DoD HUMINT Information Report Evaluation Program)

1. Purpose. To promulgate procedures delineated in enclosure (1) for evaluating Intelligence Information Reports (IIRs), either by utilizing DD Form 1480 (Defense Intelligence Information Report Evaluation) or message equivalent, by Naval Intelligence Command (NAVINTCOM) subordinate commands and other Navy departmental elements whose information needs are processed by NAVINTCOM.

2. Cancellation. NAVINTCOMINST 3820.6

3. Discussion.

a. The DoD IIR evaluation is designed to serve as a vehicle for substantive dialogue between analysts and collectors, and to provide data to gauge the contribution of information in satisfaction of collection and production requirements. Message evaluation is preferred and encouraged as it provides timely notification of requirements satisfaction, thus precluding unnecessarily redundant collection and reporting.

b. Procedures for the evaluation of Electronic Intelligence (ELINT), Communications Intelligence (COMINT), and Measurement and Signature Intelligence (MASINT) are contained in DIAM 58-2, Volume II, Parts Seven, Eight and Ten, respectively and are excluded from this instruction.

4. Foreign Disclosure Authority (FDA). By reference (a), as amplified in reference (b), COMNAVINTCOM was delegated authority, subject to the restrictions set forth in paragraph 5 below, to disclose IIR evaluations to friendly governments that provided the information in the original IIR. That foreign disclosure authority for evaluations originated within their commands, is redelegated by COMNAVINTCOM to:

NAVINTCOMINST 3820.6A

- a. Commanding Officer, Naval Intelligence Support Center.
- b. Commanding Officer, Navy Field Operational Intelligence Office.
- c. Director, Naval Investigative Service.

Additional information on foreign disclosure is contained in subparagraph 10.b.(19) of enclosure (1).

5. Action.

a. All intelligence information reports received (complete with all enclosures) either by message or hard copy (DD Form 1396) directly from the collector or via DIA will be evaluated in accordance with the provisions set forth in enclosure (1). Message evaluations will be used unless infeasible.

b. Release of intelligence within the context of this instruction are subject to the following restrictions:

(1) Intelligence contained in evaluations must only address communist countries.

(2) Evaluations may not contain Special Compartmented Information (SCI).

(3) Evaluations must not include intelligence produced by departments or agencies other than the Navy.

Proposed releases that do not conform to the above restrictions shall be forwarded to Commander, Naval Intelligence Command (NIC-03).

c. To ensure expeditious handling and responsiveness when collectors request evaluations releasable to cooperating foreign governments, the respective commanding officers/director of Naval Intelligence Support Center, Navy Field Operational Intelligence Office, and Naval Investigative Service may designate an officer and an alternate, within each department that originates IIR

evaluations, as the "Foreign Disclosure Authority" (FDA). The designated FDA officer(s) will approve and sign only those IIR evaluations originated by their commands coming under the purview of subparagraph 5.b. above.

(signed)

W.N. CAMPBELL
Deputy

Distribution:

SNDL FS3 (NISC) (30)
FS5 (NFOIO) (5)
FS6 (NAVINSERVHQ) (5)

Copy to:

SNDL A2A (Department of the Navy Staff Offices)
(ONR, only)
A3 (Chief of Naval Operations (Op-009,
Op-009G, Op-009F, and Op-009D, only)
B24 (Special Agencies, Staffs, Boards, and
Committees) (DIA (Codes DC-4, AAT,
RSS), only)
B5 (U.S. Coast Guard) (COMDT COGARD, only)
C4F61 (Field Operational Intelligence Office
Branch) (2)
C4J (Task Force, Group and Unit)
21A (Fleet Commanders in Chief)

Stocked:

COMNAVINTCOM (NIC-00A1A)
4600 Silver Hill Road,
Washington, DC 20389

11 July 1980

DIAM 58-2
NAVINTCOMINST 3820.6A

CHAPTER 16

DOD HUMINT INFORMATION REPORT EVALUATION PROGRAM

1. **PURPOSE:** To describe the objectives of the program, the use and contents of DD Form 1480 (Defense Intelligence Information Report Evaluation) or message equivalent, and procedures for preparing and processing the form. See paragraph 14 for the meaning of asterisked (*) items.

2. REFERENCES:

- a. DoD Directive 5105.21, "Defense Intelligence Agency," 19 May 1977.
- b. DIAM 58-2, Volume II, Part Nine, "Requirements Reporting/Guidance Programs (U)," SECRET/NOFORN, Chapter 15, "Intelligence Information Reports."
- c. DIAM 58-2, Volume II, Part Four, "Human Intelligence Tasking System (HITS) (U)," CONFIDENTIAL.
- d. DIAM 58-6, "Analysts-Attache Relationships (U)," CONFIDENTIAL.

3. **APPLICABILITY:** This chapter is applicable to all intelligence production activities of all DoD components and all voluntary non-DoD participant members of the the U.S. foreign intelligence community who utilize the Human Intelligence Tasking System (HITS) to accept satisfaction of intelligence information needs.

4. **AUTHORITY:** This chapter provides guidance on the DoD Human Source Intelligence (HUMINT) Intelligence Information Report (IR) Evaluation Program. This publication provides intelligence guidance to all DoD components and is issued under the authority delegated in DoD Directive 5105.21, "Defense Intelligence Agency," by the Secretary of Defense to the Director, Defense Intelligence Agency.

5. **SUPERSESION:** This chapter supercedes DIAR 58-9, "Defense Information Report Evaluation," 1 August 1973.

6. **FORMAT:** Message evaluations will be used unless infeasible. DD Form 1480 is designed to be used as a draft format for preparation of messages. Message and hard-copy DD Form 1480 preparation guidance is provided at enclosures 1 and 2. An addressee guide is provided at enclosure 3.

7. **OBJECTIVES:** The evaluation program is designed as the primary means for user/consumer analyst feedback to field collectors. It guides the latter's collection efforts to better serve analysts' needs, reduces unnecessary or redundant collection and reporting, and assists in the most cost-effective utilization of scarce DoD HUMINT collection resources. The program also supports efforts to obtain performance and management data to assess the contribution of information gathered by DoD human resources collectors and the utility of such information in intelligence production and to end consumers. No conflict exists with reference d, as the analyst to attache communications channel is not audit trailed, applies only to expand on validated tasking actions, and affords necessary communications channels between collector and consumer.

8. EVALUATION CRITERIA:

A. *DoD Evaluation Criteria.* IR's are selected for evaluation only if the complete IR is available with all enclosures. The following criteria apply:

- (1) All responses to Intelligence Collection Requirements (ICR's) must be evaluated by the originators of the requirements (see paragraph 10.d below).
- (2) IR's containing collectors' requests for evaluation require evaluation by appropriate Offices of Primary Responsibility (OPR's) as determined by the Dissemination Activity (RTS-2) (if an initiative IR) or by the originator (OPR) of continuing intelligence requirements (CIR's)/DIRM 3 DIRM 9 requirements cited in the IR. When evaluations are requested by the collector, the collector should identify specific ques-

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tions or areas of interest to be evaluated by the analyst (see paragraph 10.d below).

(3) A standard statistically based random sample of initiative IR's will be selected by DIA Collection Management (DC-4) for evaluation sufficient to provide an acceptable statistical base characteristic of each collector's total initiative reporting effort and will be used only to insure that at least 15 percent of each collector's total reporting is evaluated.

(4) Production analysts at all echelons are strongly encouraged to evaluate IR's on their own initiative. Any analyst may initiate an evaluation when:

(a) It is not requested by the collector or not an ICR response (unless analyst is not the OPR for the requirement but still has interest in the subject).

(b) A particularly complete and thorough report is submitted which obviously involved the expenditure of more than average effort in the collection or preparation.

(c) The content makes a significant contribution to intelligence holdings.

(d) The content is judged to be inaccurate or misleading when compared with other holdings.

(e) The IR is considered of no value and further similar reporting is not desired.

(f) A dialogue is necessary to clarify points in the IR or provide guidance for future reporting.

(5) Special evaluations are directed by competent authorities.

(6) IR's responding to Source Directed Requirements (SDR's) will be evaluated when they reference the SDR followed by the word "COMPLETED." At that juncture, all IR's responsive to one SDR originated by the analyst must be evaluated on one DD Form 1480 or message equivalent annotated to reflect each IR number. Some SDR's may address a variety of topics (e.g., torpedoes, electronics, ASW, weapon systems, etc.) in which case one composite evaluation may not be feasible. To preserve the sense of direct feedback, single or cumulative responses to SDR's are therefore the analysts' or production agencies' option.

(7) IR's forwarding biographic or open-source data, regardless of HTS references, will not be tasked for evaluation unless requested by the collector with full justification in ORIGINATOR'S COMMENTS. However, analyst initiative evaluations on open-source data not considered of value are strongly encouraged as one means to reduce unneeded IR production.

b. Unified and Specified (U&S) Command Evaluation Criteria.

(1) U&S Commands and subordinate elements have the responsibility to select and evaluate all IR's responsive to their originated ICR's and IR's requesting evaluation which are responsive to their originated CIR's.

(2) U&S Commands may supplement the criteria specified above by establishing additional evaluation criteria for application to IR's originated by Command HUMINT collection resources, applicable only to analysts under their operational control.

(3) If an overriding reason exists precluding use of the message IR evaluation format:

(a) Three copies of hard-copy Command evaluations will be furnished to the Guidance and Requirements Branch (DC-4A) for inclusion in the evaluation data base and for other distribution.

(b) The original hard-copy evaluation will be expeditiously dispatched directly to the collector by the U&S Command or subordinate elements.

(4) Analyst initiative evaluations by Theater subordinate analysts are strongly encouraged if the substance of an IR is of interest, disregarding possible evaluations by continental United States (CONUS) analytical activities.

(5) Message evaluations will always be addressed as shown in enclosure 3.

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9. PROCEDURES:

a. Evaluations of IR's will be processed as follows:

(1) The Dissemination Activity will complete those blocks of the the IR identification data section specified in paragraph 10.b and, if hard copy, forward five copies of the DD Form 1480, together with the report to which the evaluation applies and its enclosures, to the production activity assigned to perform the evaluation. Assignment of collector initiative IR's for evaluation will be accomplished in accordance with the Statement of Intelligence Interests (SII's) of the various DoD and other agencies' analytical elements worldwide.

(2) When overriding reasons preclude message evaluations pertinent to hard-copy evaluations, production activities will complete all portions of the evaluation form not previously completed by the Dissemination Activity, detach the fifth (analyst's) copy for retention, and forward the remaining copies to DC-4A (unless special exception has been made on a case-by-case basis, such as shown in paragraph 7.b, above). The original will be forwarded by DC-4A to the collector within a maximum of 1 week. If there is no cognizant analytical activity within an agency, the entire package will be expeditiously returned to RTS-2B (not DC-4A) with a note stating, "NOT OPR. REQUEST RE-ROUTE." All completed evaluations must be returned to DC-4A within 30 days of receipt. If an IR is erroneously assigned to an analyst for evaluation (where the analyst is not the OPR), the IR will not be evaluated or discarded, but will be re-routed as per above. Those evaluations nominated for release to foreign governments must be routed through the appropriate Foreign Disclosure Authority (see paragraph 10.b.(19), below, for specific guidance).

b. Services and U&S Command production activities which receive IR's responding to their ICR's directly from collectors will not receive duplicate IR's or evaluation forms from the DIA. These IR's will be evaluated applying the procedures outlined in the paragraphs above, i.e., the original evaluation will be returned directly to the collector, the fifth copy retained, and the other three copies sent to DC-4A. It is incumbent upon such production elements that each "Header Data" entry on the hard-copy or message evaluation is completed to avoid loss of the evaluation, or precluding DIA from properly entering data in the ADP support file (Eval File). All "Header Data" are easily discernible from the administrative blocks of the IR.

c. The use of message evaluations is directed unless there are overriding reasons why this cannot be accomplished. The message format is at enclosure 2 to this chapter. Message evaluations will be addressed to the collector, to DC-4A, and to intermediate levels of the collector's command, if appropriate. The subject of all evaluation messages will be "DOD IIR EVAL (U)." Use of the message format significantly reduces receipt time by collectors and considerably enhances the entire IR evaluation program.

d. Analysts are expected to evaluate only those portions of IR's which apply to their area of responsibility. They are not expected to comment on anything outside their area of expertise nor to staff the evaluation elsewhere to evaluate information outside their areas of expertise. The analyst will indicate in the COLLECTOR'S GUIDANCE (block 17) exactly what portions were evaluated. If the IR does not apply to an analyst's area of interest and does not cite an applicable requirement originated by the office, the IR was misdirected and should be routed in accordance with paragraph 10.a.(2), above.

e. Evaluations containing Sensitive Compartmented Information (SCI) or "RODCA" material are strongly discouraged due to distribution and handling problems. They should be addressed by separate correspondence passed through the appropriate handling system. All DD Forms 1480 or message equivalent should be at the collateral level and make reference to the separate sensitive correspondence, if applicable.

10. INSTRUCTIONS FOR COMPLETING DD FORM 1480 (AND MESSAGE EQUIVALENT).

a. *General Guidance.*

(1) Analysts' evaluations are the principle source of substantive feedback available to col-

lectors and serve as guidance to collectors in their efforts to fulfill identified intelligence needs. Analysts are encouraged to exercise objectivity and candor in the preparation of evaluations, to include generous use of the mandatory COLLECTION GUIDANCE and the optional REMARKS sections (blocks 17 and 18) of the form which are designed to serve as vehicles for substantive dialogue between collectors and analysts.

(2) When a collector indicates in the REQUIREMENTS REFERENCES block of the IR that collection against an ICR (only) is "COMPLETED" or if an analytical agency indicates on an evaluation that the collector has completely satisfied the ICR, DC-4 will automatically delete that collector from the requirement (or cancel the requirement completely, if appropriate). If an analytical element believes that an IR marked "COMPLETED" does not fulfill the requirement for that collector because of perceived collection potentials, the analyst should so state in block 17 (COLLECTION GUIDANCE) of the DD Form 1480.

(3) If an IR quotes a requirement originated by the receiving analytical element, but the IR, in fact, does not answer any of the requirement, blocks 12.C and 13.D. will be checked (as well as 16.C., if appropriate). Guidance will then be included in block 17 COLLECTION GUIDANCE to redirect the collector's efforts.

(4) Hard copy DD Form 1480 (when use is necessary vice message evaluations) need not be typed. Legible, handwritten evaluations are acceptable. *All copies* must be readable. Corrections or strike-outs are acceptable.

(5) Advance IR's (e.g., electrical message IR's which do not include all enclosures) will not be evaluated, even if requested, until the enclosures have been received. The Dissemination Activity will not task analysts for evaluations until the *complete* IR is available. If analysts mistakenly receive such requests for evaluation, they are to return them to the Dissemination Activity with an appropriate note attached and without completing any portion of the evaluation. Analysts should make certain that the enclosures have not, in fact, been received before taking this action.

(6) The collector may cite the following types of requirements references. They will always contain nine characters (except INITIATIVE). Prefixes "ICR," "SDR," "CIR," and "DIRM" are not desired. If applicable, "completed" (may be abbreviated "COMP") must follow each ICR and SDR reference. See DIAM 58-2, Volume II, Part Four for full explanation of these references.

(a) DIRM 3: The first character will always be Z. Example: Z-DI3-UR015.

(b) DIRM 9: The first character will always be Y. Example: Y-DIRM9-001.

(c) SDR: The first characters will always be SD. Example: SD-FST-0001/CONT.

(d) ICR: The first character(s) will be other than SD, Y, or Z, and the fifth character other than 4. Example: G-FST-19333/COMP.

(e) CIR: The first character(s) will be other than SD, Y, or Z, and the fifth character will be 4. Example: G-FST-49333.

(f) INITIATIVE: Self-explanatory. Only an X is required in the appropriate space on the hard-copy DD Form 1480. In the message format, place the word "initiative" in paragraph 10.

b. *DD Form 1480 and Message Equivalent-Specific Guidance.* The following specific instructions are keyed to the data blocks shown on DD Form 1480 and will be followed in the completion of those blocks. Blocks which must always be completed are indicated by the word "mandatory." The provisions of this paragraph also apply to hard-copy except where noted herein or in enclosures 1 (hard copy) or 2 (message format) to this chapter. All items in blocks 2 through 11 will be filled out exactly as they appear on the IR unless otherwise noted herein.

(1) Block 1-CUSTOMER NO.* The customer number of the production activity designated to receive the IR for evaluation will be entered by the Dissemination Activity in this block.

(2) Block 2-IR NO.* Mandatory. The ten-digit number will be placed in this block by the Dissemination Activity (see paragraph 9.b above).

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(3) Block 3-TARGET COUNTRIES.* Mandatory. The two-character target country or area code(s) will be entered by the Dissemination Activity. The full names of the countries are not desired.

(4) Block 4-REPORTER'S NAME (DAO's only). Mandatory where applicable. The last name of the individual in the USDAO who wrote the IR will be entered here; otherwise, the block is left blank. This will be completed by the Dissemination Activity.

(5) Block 5-IR DATE.* Mandatory. The date of the IR will be entered in year-month-day sequence in the six blocks provided, e.g., 720209, by the Dissemination Activity.

(6) Block 6-SOURCE NO.* Mandatory when provided. Source numbers (such as Source Code Identifiers) will be entered by Dissemination Activities. Narrative source descriptions, names, and the like will not be entered. Leave blank when no source number is provided by the IR originator.

(7) Block 7-PROJECT NO.* Mandatory for collectors under program elements 31012 and 31022 (HUMINT) only. The six-digit collection project number will be entered in this block by the Dissemination Activity.

(8) Block 8-SUBJECT CODE(S).* This block is for DC-4A use only. Enter "N/A" on message evaluations. Leave blank on hard copy evaluations.

(9) Block 9-REC'D BY DISSEM.* Mandatory except as indicated in paragraph 10.c, following. The date on which the IR was received by the Dissemination Activity will be entered by that activity in year-month-day sequence.

(10) Block 10-REFERENCE(S). Mandatory. If the IR responds to an ICR, an SDR, a CIR, a DIRM 3, or a DIRM 9, the Dissemination Activity will check block A and enter the tasking reference(s). If the IR is a collector initiative, block 9B will be checked. When multiple requirements are referenced in an IR, the criteria below will be followed in selecting which analytical agency(ies) will be tasked to evaluate the IR. (NOTE: Analysts may elect to evaluate any IR on their own initiative without regard to the following instructions.)

(a) *More than one ICR and/or ICR(s)-SDR combination, but no other tasking references.* An evaluation form will be forwarded to the originator(s) of the ICR(s) and, when marked "Complete," to the originator of the SDR-one form of each ICR and SDR cited. If the same analyst is assigned to evaluate the same IR more than once because he was the originator of more than one of the ICR's referenced, he may combine the evaluations on the same form (discarding the unused forms) by adding in block 10 the additional ICR's from the discarded forms, provided that blocks 12 through 16 are the same for each. Blocks 17 and 18 may be subparagraphed for the purpose of discussing each ICR response. If blocks 12 through 16 are not identical for all, a separate form must be used for each. SDR's (when exploitation is "Complete") must be evaluated separately. SDR's may be evaluated separately at the option of the analyst or production agency as outlined in paragraph 8.a.(6), above.

(b) *An SDR, one or more ICR's, or ICR(s)-SDR combination with other types of references also cited.* Only the originator of the ICR(s) and/or SDR (when "Complete") will be tasked to evaluate in accordance with (a), above, unless the collector specifically asked in ORIGINATOR'S COMMENTS for an evaluation by the originator(s) of the other tasking references.

(c) *Other references, but no ICRs or SDRs.* CIR, DIRM 3, and DIRM 9 are only evaluated when the IR originator specifically requests an evaluation. They are not randomly selected, but analysts may evaluate them on their own initiative at any time. If an evaluation is requested, the following applies: If an IR references a CIR, DIRM 3, and DIRM 9, only the CIR originator will be tasked, unless the originator also specified an evaluation by the DIRM 3 and/or DIRM 9 OPR(s). If only DIRM 3 and DIRM 9 are referenced, the DIRM 3 and DIRM 9 OPR's would be tasked for an evaluation. Evaluations may be combined as shown in paragraph (a), above, provided blocks 12 through 15 are the same for each reference.

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(11) **Block 11-REASON FOR EVALUATION. Mandatory.** The appropriate block (A, B, C, or D-one only) indicates why the evaluation is being accomplished and will, except as noted below, be checked by the Dissemination Activity. Random selection of collector initiative IR's, block D (SEL BY COL MGR), will be checked whenever the other three blocks are not appropriate. The analyst will check block C if the evaluation is based on analyst initiative.

(12) **Block 12-VALUE OF INFORMATION. Mandatory.** The appropriate block describing the intelligence value of the information reported will be checked by the evaluator. Only one block (A, B, or C) will be marked. The following criteria will be applied in judging the value of an IR:

(a) **Block 12A-Of Major Significance.** The IR contains information reported for the first time or that which significantly clarifies or amplifies present intelligence holdings and/or interpretations; corroborates or negates all-source reporting; provides the basis for significantly revising previous analyses or estimates; or provides unique or timely indications or warning of impending foreign military or political action.

(b) **Block 12B-Of Value.** The IR contains information which supplements or confirms present intelligence holdings and/or interpretations, and is useful in updating previous analyses, estimates, basic intelligence products, or the data base.

(c) **Block 12C-Of No Value.** The IR contains information of no significance and does not contribute to present intelligence holdings and/or interpretations. This block must never be used to indicate that the evaluator is not responsible for the subject matter of the IR (see paragraph 9.a.(2), above). If the IR is ICR-responsive and this block (12C) is checked, 16C must also be checked.

(13) **Block 13.** If the information is of no value and 12C is checked, the one best reason below must also be checked:

(a) **Block 13A-Too Fragmentary.** This block applies to information which is incomplete or lacks the detail necessary for clarity and/or comprehension.

(b) **Block 13B-Duplicative.** This block applies to information which is identical to information already on hand, indicating that it may have come from the same source or that it is of such common knowledge that it was not used to verify information reported by other sources.

(c) **Block 13C-Untimely.** This applies to information which is outdated or stale, but could have been of value if received earlier.

(d) **Block 13D-Not Responsive to Tasking Cited.** This block applies to information which is not responsive to the referenced requirement(s). Evaluators using this block must be the requirement's originator.

(14) **Block 14.** This block will be completed only if the analyst knows that the information has been or will be used in an intelligence product; otherwise, it should be left blank. The evaluator will identify the general type of product(s) by checking one or more of the descriptor blocks. Specific product name(s) must be identified in the space provided for that purpose in block 15. Products may include recurring reports (summaries, notices, updates, etc.), briefings, one-time reports or studies, or a variety of other items, and should always fall into one of the general areas described below. In essence, anything which is not included in this block was either not used or was included in the data base.

(a) **Block 14A- Basic Intelligence.** This block applies to general intelligence products which are often encyclopedic or detailed in nature, and usually includes information of a fundamental and long-term nature concerning facilities, resources, institutions, or conditions in foreign areas or countries. This information is usually collected to support production under the Defense Intelligence Production Schedule.

(b) **Block 14B-Current Intelligence.** This block applies to products of current, time-dominant interest which contain contemporary information concerning on-going situations in foreign areas or countries.

(c) **Block 14C-Estimative Intelligence.** This block applies to those products which focus on projecting future conditions in foreign areas or countries, either long-range or near-term, and which identify the major military and political,

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economic, or sociological facts and factors present in a foreign situation, development, or trend; interpret their significance; discuss possible future actions or events that may alter or stabilize present conditions; and appraise the prospective results of the various actions that might be taken or events that might occur.

(d) **Block 14D-S&T Intelligence.** Applicable when data are used in any scientific and technological product authenticated by a Directorate for Scientific and Technical Intelligence (DT) tasking (DT project number).

(15) **Block 15-NAME OF PRODUCT(S).** List all products by full name in which this IR was or will be used, when known.

(16) **Block 16.** One block only (A, B, or C) will be checked for IR's responding to ICR's validated and levied in accordance with DIAM 58-2, Volume II, Part Four, indicating the extent to which the ICR was satisfied. ICR's are always evaluated unless the reporter specifically requests no evaluation. Block 16 will be completed only if block 11A above has been checked and only by the ICR's originating element.

(a) **Block 16A-Completely Satisfied.** This block is used only when an IR completely satisfies all portions of an ICR.

(b) **Block 16B-Partially Satisfied.** This block is used when an IR satisfies some portions of an ICR which must be identified by the analyst in **COLLECTION GUIDANCE** (block 17). If an entire ICR is satisfied for that collector, so state in the remarks (block 18). Block 16B is checked most frequently; when checked the evaluation must contain precise guidance in block 17 reflecting analyst's holdings (including sanitized all-source intelligence).

(c) **Block 16C-Not Satisfied at All.** This block usually indicates that an IR references an ICR, but contains no information responsive or relevant to the ICR. When this block is checked, **COLLECTION GUIDANCE** (block 17) must contain guidance to the collector indicating that the ICR has been reviewed and specifying why the information reported was not responsive or relevant to the ICR.

(17) **Block 17-COLLECTION GUIDANCE.** Mandatory unless block 16A is checked, indicating the IR completely satisfied the ICR. This block is designed to provide constructive guidance that will assist the collector in supporting analysts requirements. This block will not be used for laudatory or deprecatory remarks, or for any other non-guidance types of statements. If such statements are appropriate, they will be placed in **REMARKS** (block 18). **COLLECTOR'S GUIDANCE** should be utilized for issuing additional guidance to the collector beyond that stated in the requirement(s) which would further assist him in responding to the requirement(s). It may be used to expand on the requirement, but not to levy new requirements. It is important that this paragraph is used as a prime, if not the prime, vehicle for analyst-to-collector communications. It should include points of observation, indicators of what to look for, suggested targets, indications of the precise type of data being sought and type of data not needed based on all-source holdings.

(18) **Block 18-REMARKS.** This block is optional and may be used to make additional remarks on the value, significance, and use of the information reported, but not collection guidance. If block 12A (**OF MAJOR SIGNIFICANCE**) is selected, analysts are encouraged to describe the nature of the report's contribution to intelligence holdings.

(19) **Block 19-FOREIGN DISCLOSURE DATA.*** Mandatory.

(a) **Block 19A-Evaluator's Recommendation.** The evaluator will complete 19A by completing one of the three entries. Releasable evaluation not requested will always be select-

NOTE: THE GUIDANCE AND REMARKS BLOCKS SHOULD UNDER NO CIRCUMSTANCES BE USED FOR COMMENTS CONCERNING DISSEMINATION PROBLEMS, E.G., NON-RECEIPT OF ENCLOSURES, DUPLICATIVE RECEIPT OF THE SAME REPORT, POOR REPRODUCTION, OR ANYTHING ELSE WHICH IS OF NO INTEREST TO THE COLLECTOR. DISSEMINATION AND OTHER ADMINISTRATIVE PROBLEMS SHOULD BE ADDRESSED BY SEPARATE CORRESPONDENCE TO RTS-2B AND DC-4A FOR RESOLUTION. THIS MAY BE ACCOMPLISHED TELEPHONICALLY, IF DESIRED.